

**Item 1 Cover Page**

A.

**Paul Brian Schatz**

Heritage Capital, LLC

Brochure Supplement  
Dated: February 28, 2020

Contact: Paul B. Schatz, Chief Compliance Officer  
1 Bradley Road, Suite 202  
Woodbridge, Connecticut 06525

B.

**This Brochure supplement provides information about Paul Brian Schatz that supplements the Heritage Capital, LLC Brochure; you should have received a copy of that Brochure. Please contact Paul B. Schatz, Chief Compliance Officer, if you did *not* receive Heritage Capital, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Paul Brian Schatz is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

Paul Brian Schatz was born in 1966. Mr. Schatz graduated from University of Rochester in 1988, with a Bachelor of Arts degree in Political Science. Mr. Schatz has been President and an investment adviser representative of Heritage Capital, LLC since June of 2003.

**Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. Mr. Schatz is a minority partner in Numetrix Capital, an investment research firm providing quantitative investment solutions to financial advisors. This activity requires a very limit number of hours per week.
- B. Mr. Schatz is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

Heritage Capital provides investment advisory and supervisory services in accordance with current state regulatory requirements. Heritage Capital's Chief Compliance Officer, Paul Schatz, is primarily responsible for overseeing the activities of Registrant's supervised persons. Mr. Schatz also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding Registrant's supervision or compliance practices, please contact Mr. Schatz at (203) 389-3553.

#### **Item 7 State-Registered Investment Advisers**

- A. Mr. Schatz has never been involved in an arbitration or mediation proceeding or any client initiated complaint proceeding.
- B. Mr. Schatz has never been the subject of a bankruptcy petition.

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A.

**Ronald Robert Milone**

Heritage Capital, LLC

Brochure Supplement  
Dated: February 28, 2020

Contact: Paul B. Schatz, Chief Compliance Officer  
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Woodbridge, Connecticut 06525

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**This Brochure supplement provides information about Ronald Robert Milone that supplements the Heritage Capital, LLC Brochure; you should have received a copy of that Brochure. Please contact Paul B. Schatz, Chief Compliance Officer, if you did *not* receive Heritage Capital, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ronald Robert Milone is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

Ronald Robert Milone was born in 1956. Mr. Milone graduated from University of Connecticut in 1978, with a Bachelor of Science degree in Accounting. Mr. Milone received his Master's degree in Business Administration from the University of Connecticut in 1979. In 1982 Mr. Milone earned his designation as a Certified Public Accountant and have been in practice ever since. In 2000 Mr. Milone became an investment adviser representative and then earned his Certified Financial Planner designation in 2005.

**Item 3 Disciplinary Information**

None.

**Item 4 Other Business Activities**

- A. Mr. Milone is not actively engaged in any other investment-related business or occupation for compensation.
- B. Mr. Milone is a partner in Marcum, LLP, an independent public accounting and advisory services firm where his responsibilities include tax consulting and preparation, assurance services and management of personnel. This activity requires 200 hours per month.

**Item 5 Additional Compensation**

None.

**Item 6 Supervision**

Heritage Capital provides investment advisory and supervisory services in accordance with current state regulatory requirements. Heritage Capital's Chief Compliance Officer, Paul Schatz, is primarily responsible for overseeing the activities of Registrant's supervised persons. Mr. Schatz also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding Registrant's supervision or compliance practices, please contact Mr. Schatz at (203) 389-3553.

**Item 7 State-Registered Investment Advisers**

- A. Mr. Milone has never been involved in an arbitration or mediation proceeding or any client initiated complaint proceeding.
- B. Mr. Milone has never been the subject of a bankruptcy petition.

**Item 1 Cover Page**

A.

**Christopher Todd Angle**

Heritage Capital, LLC

Brochure Supplement  
Dated: February 28, 2020

Contact: Paul B. Schatz, Chief Compliance Officer  
1 Bradley Road, Suite 202  
Woodbridge, Connecticut 06525

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**This Brochure supplement provides information about Christopher Todd Angle that supplements the Heritage Capital, LLC Brochure; you should have received a copy of that Brochure. Please contact Paul B. Schatz, Chief Compliance Officer, if you did *not* receive Heritage Capital, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Christopher Todd Angle is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

Christopher Todd Angle was born in 1949. Mr. Angle graduated from University of Michigan in 1971, with a Bachelor of Arts degree in Biological Anthropology; and in 1978 from Japan Missionary Language Institute with a Masters of Arts degree in Japanese. Mr. Angle has been an investment adviser representative of Heritage Capital, LLC since 2018.

**Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. Mr. Angle is not actively engaged in any other investment-related businesses or occupations.
- B. **Licensed Insurance Agent.** Mr. Angle, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Angle to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Angle that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Angle. Clients are reminded that they may purchase insurance products recommended by Mr. Angle through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Paul B. Schatz, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Mr. Angle sells books under the D/B/A of Philosophy Publishing Company. Mr. Angle teaches children and adults the sport of judo under the company named Stamford Learning Center, LLC.

Since 2010, Mr. Angle has been an Adjunct Professor at the University of Bridgeport, the International College.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

Heritage Capital provides investment advisory and supervisory services in accordance with current state regulatory requirements. Heritage Capital's Chief Compliance Officer, Paul Schatz, is primarily responsible for overseeing the activities of Registrant's supervised persons. Mr. Schatz also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding Registrant's supervision or compliance practices, please contact Mr. Schatz at (203) 389-3550.

#### **Item 7 State-Registered Investment Advisers**

- A. Mr. Angle has never been involved in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding.
- B. Mr. Angle was been the subject of a personal bankruptcy petition, filed on September 21, 2009 and discharged on May 24, 2012 in Bridgeport, CT.